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**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**In re Application of:**

STEPHEN R. WILSON  
YI-ZHEN HU  
TONG ZHU

Serial No.: 10/822,644

Filed: April 12, 2004

For: FULLERENE COMPOSITIONS FOR  
AMELIORATING DERMATOLOGICAL  
CONDITIONS

Group Art Unit: 1615

Examiner: Unknown

Attorney Docket: 4451.002200/RFE

**CUSTOMER NO. 23720**

**INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MAIL STOP AMENDMENT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the date below.

October 29, 2004

*Cynde Meinhart*  
Cynde Meinhart

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed foreign patent documents and journal articles required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner. Because the filing date of the present application is after June 30, 2003, copies of the listed U.S. patents and publications are not included.

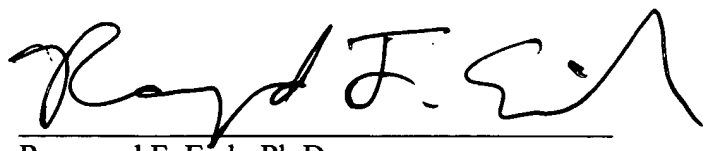
In accordance with 37 C.F.R §§ 1.97(g),(h), this Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Information Disclosure Statement; however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Director is hereby authorized to deduct said fees from Williams, Morgan & Amerson, P.C., Deposit Account No. 50-0786/4451.002200RFE.

Applicants respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

WILLIAMS, MORGAN & AMERSON, P.C.  
CUSTOMER NO. 23720

A handwritten signature in black ink, appearing to read 'Raymund F. Eich', written over a horizontal line.

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AGENT FOR APPLICANTS

October 29, 2004

Form PTO-1449 (modified)

Atty. Docket No.  
4451.002200/RFESerial No.  
10/822,644

List of Patents and Publications for Applicant's

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicants  
Stephen R. Wilson/Yi-Zhen Hu/Tong ZhuFiling Date:  
April 12, 2004Group:  
1615U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 2Other Art  
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## U.S. Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.
		2003/ 0180491	09/25/2003	Hirsch et al.	428	35.7	02/14/2003
		2003/ 0162837	08/28/2003	Dugan et al.	514	574	02/23/2002
		6,538,153	03/25/2003	Hirsch et al.	560	82	09/25/2001
		2003/ 0027870	02/06/2003	Wilson et al.	514	656	05/15/2002
		6,506,928	01/14/2003	Hirsch	560	80	08/31/2000
		6,452,037	09/17/2002	Chiang	560	102	04/23/2001
		6,448,412	09/10/2002	Murphy et al.	548	417	10/30/2000
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		6,265,443	07/24/2001	Choi et al.	514	569	06/02/1997
		6,204,391	03/20/2001	Friedman et al.	548	338.1	09/21/1998
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		5,994,410	11/30/1999	Chiang et al.	514	709	07/15/1997
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		5,811,460	09/22/1998	Friedman et al.	514	563	01/24/1994
		5,739,376	04/14/1998	Bingel	560	51	10/20/1995
		5,648,523	07/15/1997	Chiang	562	100	10/26/1995

EXAMINER:

DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No. 4451.002200/RFE	Serial No. 10/822,644
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT  (Use several sheets if necessary)		Applicants Stephen R. Wilson/Yi-Zhen Hu/Tong Zhu	
		Filing Date: April 12, 2004	Group: 1615
U.S. Patent Documents <i>See Page 1</i>	Foreign Patent Documents <i>See Page 2</i>	Other Art <i>See Page 2</i>	

### Foreign Patent Documents

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
		WO02/051301	07/04/2002	PCT			Yes
		WO02/24273	03/28/2002	PCT			Yes
		WO01/80898	11/01/2001	PCT			Yes
		WO00/44357	08/03/2000	PCT			Yes (abstract)

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
		Dugan et al., <i>Neurobiology of Disease</i> 3:129-135 (1996)
		Dugan et al., <i>Parkinsonism and Related Disorders</i> 7:243-246 (2001)
		Dugan et al., <i>Proc. Natl. Acad. Sci. USA</i> 94:9434-9439 (August 1997)
		Gharbi et al., "In vivo Behavior of Two C <sub>60</sub> Derivatives," <i>ECS Proceedings</i> (May 14-19, 2000)
		Wilson, "Biological Aspects of Fullerenes," Chapter 10, <i>Fullerenes: Chemistry, Physics and Technology</i> , K. Kadish and R. Ruoff eds., 2000 John Wiley & Sons NY, NY
		Chiang et al., <i>J. Chem. Soc., Chem. Commun.</i> , pages 1283-1284 (1995)
		Fumelli et al., <i>The Journal of Investigative Dermatology</i> 115:835-841 (November 2000)
		Straface et al., <i>FEBS Letters</i> 454:335-340 (1999)
		Lotharius et al., <i>The Journal of Neuroscience</i> 19(4):1284-1293 (February 15, 1999)
		Lin et al., <i>Neuroscience Research</i> 43:317-321 (2002)
		Lin et al., <i>Journal of Neurochemistry</i> 72(4):1634-1640 (1999)
		Monti et al., <i>Biochemical and Biophysical Research Communications</i> 277:711-717 (2000)
		Tsao et al., <i>Journal of Antimicrobial Chemotherapy</i> 49:641-649 (2002)
		Huang et al., <i>Eur. J. Biochem.</i> 254:38-43 (1998)

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